



SOX Index (Changed)

The SOX Indicator measures Field compliance of key financial controls. Performance Based Verification has replaced Negative Balances in FY10.

- Employee Items (20%) – Combination of local Employee Open Items (salary & travel > 30 days, stamp & cash shortages > 90 days) and Accounts Receivable not in collection. Index is one minus Employee Items divided by number of total employees.
- Performance Based Verification (37.5%) – Measures the percentage of verification performed by BMA personnel compared to the number of times PostalOne! requests a verification.
- Late Mailings (12.5%) – Measures permit mailing statements that have been entered into PostalOne! after the day of business the mail was presented for mailing. Index is the percent of total statements entered the same day.
- Bank Adjustments (30%) – The number of banking deposits with any type of error, not including credit and debit. Index is one minus the number of errors divided by the total number of deposits.

MATRIX	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
FY04 SOX INDEX - Employee Items	Not Measured														
FY05 SOX INDEX - Employee Items	Not Measured														
FY06 SOX INDEX - Employee Items	Not Measured														
FY07 SOX INDEX - Employee Items	Not Measured														
FY08 SOX INDEX - Employee Items	Not Measured														
FY09 SOX INDEX - Employee Items	85.00	88.00	91.00	93.00	95.00	96.50	96.75	97.00	97.25	98.00	98.50	98.75	99.00	99.50	100.00
FY10 SOX INDEX - Employee Items	96.00	97.00	98.00	98.50	98.75	99.00	99.10	99.20	99.30	99.40	99.50	99.60	99.80	99.90	100.00

MATRIX	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
FY04 SOX INDEX - Negative Balances	Not Measured														
FY05 SOX INDEX - Negative Balances	Not Measured														
FY06 SOX INDEX - Negative Balances	Not Measured														
FY07 SOX INDEX - Negative Balances	Not Measured														
FY08 SOX INDEX - Negative Balances	Not Measured														
FY09 SOX INDEX - Negative Balances	85.00	88.00	91.00	94.00	97.00	99.80	99.82	99.84	99.87	99.89	99.91	99.93	99.96	99.98	100.00
FY10 SOX INDEX - Performance Based Verifications	82.50	85.00	87.00	90.00	94.00	98.00	98.25	98.50	98.75	99.00	99.20	99.40	99.60	99.80	100.00

MATRIX	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
FY04 SOX INDEX - Late Mailings	Not Measured														
FY05 SOX INDEX - Late Mailings	Not Measured														
FY06 SOX INDEX - Late Mailings	Not Measured														
FY07 SOX INDEX - Late Mailings	Not Measured														
FY08 SOX INDEX - Late Mailings	Not Measured														
FY09 SOX INDEX - Late Mailings	82.50	85.00	87.00	90.00	94.00	98.00	98.25	98.50	98.75	99.00	99.20	99.40	99.60	99.80	100.00
FY10 SOX INDEX - Late Mailings	96.00	97.00	98.00	98.50	98.75	99.00	99.10	99.20	99.30	99.40	99.50	99.60	99.80	99.90	100.00

MATRIX	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
FY04 SOX INDEX - Bank Adjustments	Not Measured														
FY05 SOX INDEX - Bank Adjustments	Not Measured														
FY06 SOX INDEX - Bank Adjustments	Not Measured														
FY07 SOX INDEX - Bank Adjustments	Not Measured														
FY08 SOX INDEX - Bank Adjustments	Not Measured														
FY09 SOX INDEX - Bank Adjustments	85.00	87.00	90.00	92.00	94.25	97.80	98.04	98.29	98.53	98.78	99.02	99.27	99.51	99.76	100.00
FY10 SOX INDEX - Bank Adjustments	96.39	96.77	97.15	97.53	97.91	98.29	98.48	98.67	98.86	99.05	99.24	99.43	99.62	99.81	100.00